

7-10-02  
BAP/102  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OKI.296

In Re Application Of: **Koji NAKAMURA**

31000 U.S. PRO  
10/059149

01/31/02

Serial No.  
NEW

Filing Date  
JAN. 31, 2002

Examiner  
TO BE ASSIGNED

Group Art Unit  
TO BE ASSIGNED

Title: **WAVEGUIDE TYPE OPTICAL ELEMENT, INTEGRATED OPTICAL WAVEGUIDE TYPE ELEMENT USING WAVEGUIDE TYPE OPTICAL ELEMENT, AND A METHOD OF MANUFACTURING WAVEGUIDE TYPE ELEMENT AND INTEGRATED OPTICAL WAVEGUIDE TYPE ELEMENT USING WAVEGUIDE TYPE...**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OKI.296

In Re Application: **Koji NAKAMURA**

Serial No.  
NEW

Filing Date  
JAN. 24, 2002

Examiner  
TO BE ASSIGNED

Group Art Unit  
TO BE ASSIGNED

**WAVEGUIDE TYPE OPTICAL ELEMENT, INTEGRATED OPTICAL WAVEGUIDE TYPE  
USING WAVEGUIDE TYPE OPTICAL ELEMENT, AND A METHOD OF MANUFACTURING  
ELEMENT AND INTEGRATED OPTICAL WAVEGUIDE TYPE ELEMENT USING WAVEGUIDE TYPE...**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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Signature

Dated: JAN. 31, 2002

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CC:

(Use several sheets if necessary)

**OKI.296**

**NEW**

**Koji NAKAMURA**

**JAN. 31, 2002**

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[illegible][illegible]


**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.